5/3/2016 SEC FORM 4

SEC Form 4

## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL							
OMB Number: 3235-02							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  SWETS LARRY G JR						2. Issuer Name <b>and</b> Ticker or Trading Symbol KINGSWAY FINANCIAL SERVICES INC [ KFS ]								5. Relationship of Repo (Check all applicable) X Director V Officer (give title			10% (		
(Last) (First) (Middle) KINGSWAY FINANCIAL SERVICES 150 PIERCE RD					3. Date of Earliest Transaction (Month/Day/Year) 04/29/2016								X Officer (give title Other (specify below)  President and CEO						
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
ITASCA	IL 60143														X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(St		Zip)	n Davissa	411/0			Λ		Dia			2000	Fisially					
1. Title of Security (Instr. 3) 2. Transa Date			2. Transact	etion 2A. Exe y/Year) if a		2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			) or	5. Amount of Securities Beneficially Owned Follow		6. Ownership Form: Direct (D) or Indirect (I)			
						Code	v	Amount	(A) or (D)	Pri	ce		ed ction(s) 3 and 4)	(Instr. 4)	(Instr. 4)				
Common	Stock			04/29/2	016				P		300	A	\$	4.73	2,06	2,570 <sup>(1)</sup>	D		
Common	Stock			04/29/2	016				P		600	A	\$	4.72	2,06	3,170 <sup>(1)</sup>	D		
Common	Stock			04/29/2	016				P		700	A	\$4	.6699	2,06	3,870 (1)	D		
Common	Stock			04/29/2	016				P		3,100	A	\$	4.64	2,06	6,970 <sup>(1)</sup>	D		
Common Stock			04/29/2016		i		P		200	A	\$	4.63	2,067,170 (1)		D				
Common Stock				04/29/2016		;		P		100	A	\$	4.62	2,06	7,270 <sup>(1)</sup>	D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)  2. Conversion Date (Month/Day/Year)  Exercise Price of Derivative Security  3. Transaction Date Execution Date, (Month/Day/Year)  One Date (Month/Day/Year)  3. Transaction Date Execution Date, (Month/Day/Year)				4. Transa Code 8)	saction of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		of Der Sec (Ins	Price vivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transaction (Instr. 4)	y Ownershi Form: Direct (D) or Indirec (I) (Instr. 4)	Beneficial Ownership			
					Code V (A) (D)			Date Exerci	sable	Expiration Date	ition Numb of Title Share								

## **Explanation of Responses:**

- 1. Total reflects one-for-four share consolidation effected by the Company on July 3, 2012.
- 2. Includes 1,382,665 restricted common shares under the 2013 Incentive Plan, approved by shareholders in May 2013.

<u>/s/ Larry G. Swets, Jr.</u> <u>05/02/2016</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.