

SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Schaevitz Gary</u> <hr/> (Last) (First) (Middle) <u>150 PIERCE RD</u> <hr/> (Street) <u>ITASCA IL 60143</u> <hr/> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>02/21/2014</u>	3. Issuer Name and Ticker or Trading Symbol <u>KINGSWAY FINANCIAL SERVICES INC [KFS]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	122,000	D	
Common Stock	37,500	I	By spouse
Common Stock	53,658	I	By trusts for children
Common Stock	18,000	I	By family members

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series A Warrant	09/16/2016	09/15/2020	Common Stock	23,750	4	D	
Series A Warrant	09/16/2016	09/15/2020	Common Stock	10,000	4	I	By spouse
Series A Warrant	09/16/2016	09/15/2020	Common Stock	29,558	4	I	By trusts for children
Series A Warrant	09/16/2016	09/15/2020	Common Stock	2,050	4	I	By family members

							members
Series B Warrant	09/16/2016	09/15/2023	Common Stock	23,750	5	D	
Series B Warrant	09/16/2016	09/15/2023	Common Stock	10,000	5	I	By spouse
Series B Warrant	09/16/2016	09/15/2023	Common Stock	29,558	5	I	By trusts for children
Series B Warrant	09/16/2016	09/15/2023	Common Stock	2,050	5	I	By family members
Class A convertible preferred share, series I	02/03/2014	04/01/2021	Common Stock	250,000	25	D	
Common share class C purchase warrant	09/16/2016	09/15/2023	Common Stock	250,000	25	D	

Explanation of Responses:

Emily Norris, by power of attorney 02/28/2014

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.